



85CF-00111
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tim K. Keyes	:	
	:	Art Unit: 3624
Serial No.: 09/745,821	:	
	:	Examiner: Daniel S. Felten
Filed: December 21, 2000	:	
	:	
For: VALUATION PREDICTION	:	
MODELS IN SITUATIONS	:	
WITH MISSING INPUTS	:	

STATEMENT OF ADDED INVENTOR UNDER 37 C.F.R. § 1.48(a)

Hon. Commissioner for Patents
Washington, D.C. 20231

This statement under 37 C.F.R. § 1.48(a) is submitted to add Richard Paul Messmer as an additional inventor in Patent Application Serial No. 09/745,821 filed December 21, 2000. This inventorship error occurred without deceptive intent on my part.

January 3, 2003


RICHARD PAUL MESSMER

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85CF-00111
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tim K. Keyes :
Serial No.: 09/745,821 : Art Unit: 3624
Filed: December 21, 2000 : Examiner: Daniel S. Felten
For: VALUATION PREDICTION :
MODELS IN SITUATIONS :
WITH MISSING INPUTS :

STATEMENT OF ADDED INVENTOR UNDER 37 C.F.R. § 1.48(a)

Hon. Commissioner for Patents
Washington, D.C. 20231

This statement under 37 C.F.R. § 1.48(a) is submitted to add Marc Thomas Edgar as an additional inventor in Patent Application Serial No. 09/745,821 filed December 21, 2000. This inventorship error occurred without deceptive intent on my part.

January ^{MTE}
~~December~~ 6th, 2003

Marc Thomas Edgar
MARC THOMAS EDGAR

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85CF-00111
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tim K. Keyes :
Serial No.: 09/745,821 : Art Unit: 3624
Filed: December 21, 2000 : Examiner: Daniel S. Felten
For: VALUATION PREDICTION :
MODELS IN SITUATIONS :
WITH MISSING INPUTS :

ASSENT OF ASSIGNEE TO ADD INVENTORS UNDER 37 C.F.R. § 1.48(a)

Hon. Commissioner for Patents
Washington, D.C. 20231

1. The Assignee of Patent Application Serial No. 09/745,821 filed December 21, 2000, is GE Capital Commercial Finance, Inc. of 201 High Ridge Road, Stamford, Connecticut 06927.
2. This Assent of Assignee to Add Inventors is being submitted by GE Capital Commercial Finance, Inc. (the "Assignee") pursuant to 37 C.F.R. § 1.48(a).
3. The Assignee assents to adding Richard Paul Messmer and Marc Thomas Edgar as additional inventors in Patent Application Serial No. 09/745,821 filed December 21, 2000.
4. Attached hereto is a Notice of Recordation of Assignment Document showing that Patent Application Serial No. 09/745,821 filed December 21, 2000, was assigned to the Assignee, recorded at Reel 011714/Frame 0963.

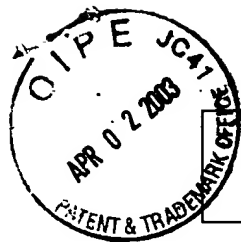
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5. The undersigned certifies that he has the authority to execute this Assent of Assignee to Add Inventor under 37 C.F.R. § 1.48(a) on behalf of the Assignee, GE Capital Commercial Finance, Inc.

March 26, 2003
Date

Preston H. Abbott
Signature

Preston H. Abbott, General Counsel
Name and Title
GE Capital Commercial
Finance, Inc.



DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
85CF-00111

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **VALUATION PREDICTION MODELS IN SITUATIONS WITH MISSING INPUTS**, the specification of which:

(check one) ☐ is attached hereto☒ was filed on December 21, 2000 as Application Serial No. 09/745,821,
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</u>
<u>60/173,875</u>	<u>December 30, 1999</u>	
_____	_____	
_____	_____	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; Scott R. Hayden, Reg. No. 41,821; James W. Mitchell, Reg. No. 25,602; Bernard Snyder, Reg. No. 24,843; and Catherine J. Winter, Reg. No. 38,364; all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; and Daniel M. Fitzgerald, Reg. No. 38,880; all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

Send Correspondence to:

John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102-2740

Direct Telephone Calls To:

John S. Beulick
314/621-5070

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DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
85CF-00111

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: Tim Kerry Keyes

Signature: _____ Date: _____

Residence: West Redding, Connecticut 06896Citizenship: USAPost Office Address: 16 Topledge Road, West Redding, Connecticut 06896

SECOND INVENTOR:

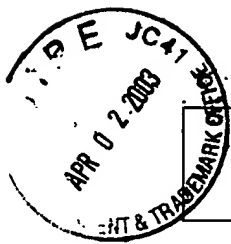
Full Name: Richard Paul Messmer

Signature: _____ Date: _____

Residence: Rexford, NY 12148Citizenship: USAPost Office Address: 735 Riverview Road, Rexford, NY 12148

THIRD INVENTOR:

Full Name: Marc Thomas EdgarSignature: Marc Thomas EdgarDate: January
December 16, 2003
MTEResidence: Glenmont, NY 12077Citizenship: USAPost Office Address: 11A Foxfire Lane, Glenmont, NY 12077



DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
85CF-00111

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
VALUATION PREDICTION MODELS IN SITUATIONS WITH MISSING INPUTS, the specification of which:

(check one) ☐ is attached hereto

☒ was filed on December 21, 2000 as Application Serial No. 09/745,821,
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

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<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</u>
<u>60/173,875</u>	<u>December 30, 1999</u>	
_____	_____	
_____	_____	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*list name and registration number*)

Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; Scott R. Hayden, Reg. No. 41,821; James W. Mitchell, Reg. No. 25,602; Bernard Snyder, Reg. No. 24,843; and Catherine J. Winter, Reg. No. 38,364; all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; and Daniel M. Fitzgerald, Reg. No. 38,880; all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

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SOLE OR FIRST INVENTOR:

Full Name: Tim Kerry KeyesSignature: Tim Kerry Keyes Date: 8 Jan 2003Residence: West Redding, Connecticut 06896Citizenship: USAPost Office Address: 16 Topledge Road, West Redding, Connecticut 06896

SECOND INVENTOR:

Full Name: Richard Paul Messmer

Signature: _____ Date: _____

Residence: Rexford, NY 12148Citizenship: USAPost Office Address: 735 Riverview Road, Rexford, NY 12148

THIRD INVENTOR:

Full Name: Marc Thomas Edgar

Signature: _____ Date: _____

Residence: Glenmont, NY 12077Citizenship: USAPost Office Address: 11A Foxfire Lane, Glenmont, NY 12077



JULY 05, 2001



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

PTAS



101690232A

ARMSTRONG TEASDALE, LLP
JOHN S. BEULICK, ESQ.
ONE METROPOLITAN SQUARE, SUITE 2600
ST. LOUIS, MISSOURI 63102

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2001

REEL/FRAME: 011714/0963
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KEYES, TIM KERRY

DOC DATE: 03/23/2001

ASSIGNEE:

GE CAPITAL COMMERCIAL FINANCE,
INC.
201 HIGH RIDGE ROAD
STAMFORD, CONNECTICUT 06927

SERIAL NUMBER: 09745821
PATENT NUMBER:

FILING DATE: 12/21/2000
ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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ENTERED
Date: 7-10-01
By: [Signature]
17343-14

04-26-2001



101690232

PATENT INFORMATION FORM COVER SHEET PATENTS ONLY

 U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tim Kerry Keyes

 Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 23, 2001

2. Name and address of receiving party(ies):

Name: GE Capital Commercial Finance, Inc.

Internal Address:

Street Address: 201 High Ridge RoadCity: Stamford State: CT ZIP: 06927
 Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

 If this document is being filed together with a new application, the execution date of the application is: March 23, 2001

A. Patent Application No.(s)

09/745,821

B. Patent No.(s)

 Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick, Esq.Internal Address: Armstrong Teasdale, LLP.Street Address: One Metropolitan Sq. Suite 2600City: St. Louis State: MO ZIP: 631026. Total number of applications and patents involved 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

04/26/2001 AAHMED1 00000041 012384 09745821

01 EC-581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Slenker, Reg. No. 45,112

Name of Person Signing

Signature

April 10, 2001

Date

 Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

Attorney's Docket No.

85CF-00111

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, I, **TIM KERRY KEYES**, of 16 Topledge Road, West Redding, Connecticut 06896, sell and assign to GE Capital Commercial Finance, Inc., a Delaware Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in VALUATION PREDICTION MODELS IN SITUATIONS WITH MISSING INPUTS, invented by us, as described in the United States provisional application filed December 30, 1999 , S.N. 60/173,875; as described in the application for the United States patent filed December 21, 2000, S.N. 09/745,821, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

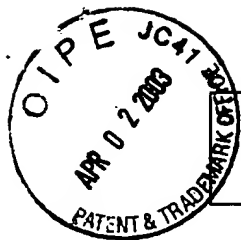
23 March, 2001

Tim Kerry Keyes
TIM KERRY KEYES

STATE OF CONNECTICUT)
County of FAIRFIELD) ss.

This 23rd day of March, 2001, before me personally came the above-named TIM KERRY KEYES, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Guille Tribe
GUILLE TRIBE
NOTARY PUBLIC
MY COMMISSION EXPIRES NOV. 30, 2001



#66
4/12/03

DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
85CF-00111

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

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☒ was filed on December 21, 2000 as Application Serial No. 09/745,821,
and was amended on _____.

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<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
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SOLE OR FIRST INVENTOR:

Full Name: Tim Kerry Keyes

Signature: _____

Date: _____

Residence: West Redding, Connecticut 06896Citizenship: USAPost Office Address: 16 Topledge Road, West Redding, Connecticut 06896

SECOND INVENTOR:

Full Name: Richard Paul MessmerSignature: Date: Jan. 3, 2003Residence: Rexford, NY 12148Citizenship: USAPost Office Address: 735 Riverview Road, Rexford, NY 12148

THIRD INVENTOR:

Full Name: Marc Thomas Edgar

Signature: _____

Date: _____

Residence: Glenmont, NY 12077Citizenship: USAPost Office Address: 11A Foxfire Lane, Glenmont, NY 12077